JC04 Rec'd PCT/PTTD-13-2 Rev by 2009 003

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION LINDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
2002P01581WOUS

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 Unassigned 175 43 1 18					
—	IONAL APPLICATION NO. 004/000638	INTERNATIONAL FILING DATE 01/26/2004	PRIORITY DATE CLAIMED 01/24/2003		
TITLE OF I	NVENTION REFRIGERAT	TING APPLIANCE AND DOOR FOR ONE	SUCH APPLIANCE		
APPLICANT(S) FOR DO/EO/US Peter Bauer et al					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. X T	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2. T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4. X T	he US has been elected (Article 31).				
5. X	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))			
	a. X is attached hereto (required	only if not communicated by the Internation	onal Bureau).		
	b. has been communicated by	the International Bureau.			
	c. is not required, as the applic	cation was filed in the United States Recei	ving Office (RO/US).		
6. X	An English language translation of the	e International Application as filed (35 U.S	.C. 371(c)(2)).		
	a. X is attached hereto.				
	b. has been previously submit	ted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the Inte	rnational Application under PCT Article 19	9 (35 U.S.C. 371(c)(3))		
	a. are attached hereto (requir	red only if not communicated by the Intern	ational Bureau).		
	b. have been communicated	by the International Bureau.			
	c. have not been made; howe	ever, the time limit for making such amend	dments has NOT expired.		
	d. have not been made and v	will not be made.			
8. 🔲	An English language translation of th	e amendments to the claims under PCT A	Article 19 (35 U.S.C. 371(c)(3)).		
9.	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).			
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary	Examination Report under PCT		
Items 11 to 20 below concern document(s) or information included:					
11. X	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.			
12. 🔲	An assignment document for recording	ng. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.		
13. X	A preliminary amendment.				
14. X	An Application Data Sheet under 37 (CFR 1.76.			
15.	A substitute specification.				
16. 🔲	A power of attorney and/or change of	address letter.			
17.	A computer-readable form of the sequence	uence listing in accordance with PCT Rule	a 13ter.2 and 37 CFR 1.821- 1.825.		
18.	A second copy of the published Intern	national Application under 35 U.S.C. 154(d)(4).		
19.	A second copy of the English language	ge translation of the international application	on under 35 U.S.C. 154(d)(4).		

JC14 Rec'd PCT/PTO 22 JUL 2005

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Approved for use through 3/31/2007, OMB 0651-0021 į Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if bows 3 1 CFR 8)
Unassign 10 / 5 4 3 1 1 8 ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. PCT/EP2004/000638 2002P01581WOUS 20. Other items or information: a.) Return Postcard b.) Certificate of Translation CALCULATIONS PTO USE ONLY The following fees have been submitted \$ 300.00 21. X Basic national fee (37 CFR 1.492(a))......\$300 22. X Examination fee (37 CFR 1.492(c)) \$ 200.00 If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).................\$0 All other situations.....\$200 23. X Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by \$ 400.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations......\$500 \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) -100 =/50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED **NUMBER EXTRA** RATE **CLAIMS** x \$50 \$000.00 Total claims 20 - 20 = XX \$200 \$ 000.00 Independent claims 2 - 3 = XX X MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ **TOTAL OF ABOVE CALCULATIONS =** \$ 900.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 900.00

Page 2 of 3

TOTAL NATIONAL FEE =

TOTAL FEES ENCLOSED =

\$ 900.00

\$ 900.00 Amount to be

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charged

\$

\$ 900.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied

by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

claimed priority date (37 CFR 1.492(i)).

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а. 🔲	A check in the amount of \$	_ to cover the above fees is enclosed.		
b. X	Please charge my Deposit Account No. 502786 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502786. A duplicate copy of this sheet is enclosed.			
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
John T BSH H 100 Bo	LL CORRESPONDENCE TO: . Winburn ome Appliances Corporation sch Blvd. ern NC 28562	SIGNATURE John T. Winburn NAME 26,822 REGISTRATION NUMBER		

10 / 5 43 1 1 8 JC14 Rec'd PCT/PTO 22 JUL 2005

Attorney Docket No. 2002P01581WOUS

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

Express Mail mailing label number: ED 409567355 US

Applicant:

Peter Bauer et al

Title:

REFRIGERATING APPLIANCE AND DOOR FOR

ONE SUCH APPLIANCE

Date of Deposit

July 22, 2005

Type of Document(s)

Certificate of Express Mailing (1 page);

Transmittal Letter to the United States

Designated/Elected Office (DO/EO/US)Concerning a Submission under 35 U.S.C. 371, Original and Copy

(6 pages);

Specification (10 pages including claims);

Drawings (4 sheets);

Certificate of Translation (1 page); Preliminary Amendment (7 pages); Application Data Sheet (4 pages);

Information Disclosure Statement (40 pages); PCT Publication WO 2004/065867 A1 (21 pages);

Return postcard.

Serial No.:

Unassigned

Filing Date:

Concurrently Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on July 22, 2005 and is addressed to Mail Stop PCT, Commissioner for Patents, P.O.

Box 1450, Alexandria, VA 22313-1450.

Signature

John T. Winburn, Reg No 26,822

Printed Name

JC14 Rec'd PCT/PTO 22 JUL 2005

Attorney Docket No. 2002P01581WOUS

<u>CERTIFICATION OF ATTACHED ENGLISH TRANSLATION OF PCT APPLICATION:</u>

PCT/EP2004/000638 BASED ON DE 103 02 797.1, Filed 01/24/2003

I hereby certify the English translation attached is a true and accurate copy of the referenced PCT/EP2004/000638 application.

John T. Winburn

Ju = y 22, 2005

Req. No. 26,822